

Prime and composite integers close to powers of a number

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Abstract We prove that, for any real numbers $\xi \neq 0$ and ν , the sequence of integer parts $[\xi 2^n + \nu]$, $n = 0, 1, 2, \dots$, contains infinitely many composite numbers. Moreover, if the number ξ is irrational, then the above sequence contains infinitely many elements divisible by 2 or 3. The same holds for the sequence $[\xi(-2)^n + \nu_n]$, $n = 0, 1, 2, \dots$, where $\nu_0, \nu_1, \nu_2, \dots$ all lie in a half open real interval of length $1/3$. For this, we show that if a sequence of integers x_1, x_2, x_3, \dots satisfies the recurrence relation $x_{n+d} = cx_n + F(x_{n+1}, \dots, x_{n+d-1})$ for each $n \geq 1$, where $c \neq 0$ is an integer, $F(z_1, \dots, z_{d-1}) \in \mathbb{Z}[z_1, \dots, z_{d-1}]$, and $\lim_{n \rightarrow \infty} |x_n| = \infty$, then the number $|x_n|$ is composite for infinitely many positive integers n . The proofs involve techniques from number theory, linear algebra, combinatorics on words and some kind of symbolic computation modulo 3.

Keywords Prime numbers · Integer part · Power sequence · Sturmian sequence

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1 Introduction

Let throughout \mathbb{C} , \mathbb{R} , \mathbb{Q} , \mathbb{Z} and \mathbb{N} be the sets of complex numbers, real numbers, rational numbers, integers and positive integers, respectively. Let also $[x]$ and $\{x\}$ stand for the integral part and the fractional part of $x \in \mathbb{R}$, respectively.

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One of the classical number theoretic problems is to determine whether a sequence of positive integers contains infinitely many prime numbers or not. By Dirichlet's theorem, an arithmetic progression $an + b$, $n = 0, 1, 2, \dots$, where $a \in \mathbb{N}$, $b \in \mathbb{Z}$ and $\gcd(a, b) = 1$, contains infinitely many primes. However, similar questions concerning the sequences $n^2 + 1$ and $2^{2^n} + 1$, where $n = 0, 1, 2, \dots$, are far from being solved. In some cases, especially for a given sparse sequence, it is not even clear whether this sequence contains infinitely many composite numbers or not. For example, it is not known whether the sequence of Fermat numbers $2^{2^n} + 1$, where $n = 0, 1, 2, \dots$, contains infinitely composite numbers or not.

In 1947, Mills [14] proved that there is a constant $\alpha > 1$ such that all the integer parts $[\alpha^{3^n}]$, $n = 1, 2, 3, \dots$, are prime numbers. In fact, for any sequence of positive integers q_n satisfying $q_n > 2.1053q_{n-1}$ for each integer $n \geq 2$, there exists an $\alpha > 1$ such that the numbers $[\alpha^{q_n}]$, $n = 1, 2, 3, \dots$, are all prime (see [2]). These results are applications of the estimates for the gaps between consecutive primes. The latter result is derived from [4]. Is there a more slowly, say, geometrically increasing prime producing function of integer parts?

Problem 1 *Is there a real number $\alpha > 1$ and an integer $n(\alpha)$ such that the integer part $[\alpha^n]$ is a prime number for each integer $n \geq n(\alpha)$?*

More generally, we ask the following:

Problem 2 *Do there exist three real numbers $\xi > 0$, $\alpha > 1$, $r > 0$ and an integer $n_0 = n_0(\xi, \alpha, r)$ such that, for each integer $n \geq n_0$, the interval $[\xi\alpha^n - r, \xi\alpha^n + r]$ contains a prime number?*

The second question gives more room for a possible prime to occur. However, it seems likely that, in both cases, the answer is “no.” See Sect. 7, where we prove this for $\xi = 1$ and integer α . The solution of the first (easier) problem seems to be far beyond reach. Nevertheless, there is at least something done in this direction for some algebraic values α . Since a negative answer to Problem 1 is expected, one needs to prove that, for any $\alpha > 1$, the sequence $[\alpha^n]$, $n = 1, 2, 3, \dots$, contains infinitely many composite numbers. This is the case if $\alpha > 1$ is an integer, which immediately implies the negative answer for each number of the form $\alpha = a^{1/m}$, where $a \geq 2$ and $m \geq 1$ are integers.

The numbers $\alpha = 3/2$ and $\alpha = 4/3$ have been treated by Forman and Shapiro [10] (see the problem E19 on p. 220 in [11]). Both sequences $[\xi(3/2)^n]$, $n = 1, 2, 3, \dots$, and $[\xi(4/3)^n]$, $n = 1, 2, 3, \dots$, contain infinitely many composite numbers [9, 10]. The fact that the sequence $[\alpha^n]$, $n = 1, 2, 3, \dots$, contains infinitely many composite numbers was also proved for some special algebraic integers α (see [6–8]). There is some intersection between some of the results in these papers and the results of the paper of Shapiro and Sparer [16] which was overlooked.

The case of $\alpha = 5/4$ turned out to be much more difficult [9]. In fact, it was proved in [9] that, for any $\xi > 0$, the sequence $[\xi(5/4)^n]$, $n = 1, 2, 3, \dots$, contains infinitely many numbers divisible by 2, 3, 7, 11 or 13. The next theorem solves a problem posed in [9]:

Theorem 3 *Let $\xi \neq 0$ and ν be real numbers. Then each of the sequences $[\xi 2^n + \nu]$, $n = 0, 1, 2, \dots$, and $[\xi(-2)^n + \nu]$, $n = 0, 1, 2, \dots$, contains infinitely many composite elements. Moreover, if the number ξ is irrational, then each of the sequences contains infinitely many elements divisible by 2 or 3.*

A negative integer a is said to be composite if $|a|$ is composite. For any finite set of primes \mathcal{P} , there are $\xi \in \mathbb{Q}$ and $\nu \in \mathbb{R}$ for which $[\xi 2^n + \nu]$ (and $[\xi(-2)^n + \nu]$) is not divisible by any $p \in \mathcal{P}$, so one cannot say more than the first part of Theorem 3 asserts. Indeed, if $\mathcal{P} = \{2\}$, we can simply take $\xi = \nu = 1$. If \mathcal{P} contains at least one odd prime, setting $\mathcal{P} \setminus \{2\} = \{p_1, p_2, \dots, p_k\}$ and taking $\xi = 1$ and $\nu = p_1 p_2 \dots p_k$, we deduce that each $[2^n + p_1 p_2 \dots p_k] = 2^n + p_1 p_2 \dots p_k$, where $n \geq 0$, is not divisible by 2 or any odd $p \in \mathcal{P}$.

The most difficult part in the proof of Theorem 3 is to prove that, for $\xi \notin \mathbb{Q}$, the sequence $[\xi 2^n + \nu]$, $n = 0, 1, 2, \dots$, contains infinitely many elements divisible by 2 or 3. For this, we shall use the notion of Sturmian words (see Sect. 4) and some kind of symbolic computation modulo 3. The proof for the sequence $[\xi(-2)^n + \nu]$, $n = 0, 1, 2, \dots$, is simpler. In fact, in this case we shall prove a more general theorem (see Theorem 9 in Sect. 5).

The proof of Theorem 3 for $\xi \in \mathbb{Q}$ is quite simple. We shall derive the required result from the next theorem which is of independent interest.

Theorem 4 *Let d be a positive integer, and let $F(z_1, \dots, z_{d-1}) \in \mathbb{Z}[z_1, \dots, z_{d-1}]$. Suppose that $c \neq 0$ is an integer, and x_1, x_2, x_3, \dots is a sequence of integers satisfying*

$$x_{n+d} = cx_n + F(x_{n+1}, \dots, x_{n+d-1}) \tag{1}$$

for each $n \in \mathbb{N}$. Then, for any $q \in \mathbb{N}$ satisfying $\gcd(c, q) = 1$, the sequence x_1, x_2, x_3, \dots is purely periodic modulo q . In particular, if x_1, x_2, x_3, \dots is a sequence of integers satisfying (1) and $\lim_{n \rightarrow \infty} |x_n| = \infty$, then it contains infinitely many composite numbers.

Recall that the sequence u_1, u_2, u_3, \dots is called *ultimately periodic* if there is a $t \in \mathbb{N}$ such that $u_{n+t} = u_n$ for each $n \geq n_0$. If $n_0 = 1$, then the sequence u_1, u_2, u_3, \dots is called *purely periodic*.

One should mention that a version of Theorem 3 for $[\xi 2^n + \nu]$ was obtained by Gerry Myerson in an unpublished manuscript, entitled ‘‘An arithmetic property of certain rational powers.’’ His proof is different from ours and involves the reduction of $[\xi 2^n + \nu]$ modulo 2, 3 and 5 rather than just 2 and 3.

We remark that the problems for integer parts $[\xi \alpha^n + \nu]$ are related to similar problems for fractional parts $\{\xi \alpha^n + \nu\}$. For instance, an (unsolved) Mahler’s question concerning the fractional parts $\{\xi(3/2)^n\}$, $n = 0, 1, 2, \dots$, [13] is equivalent to the question on whether, for each $\xi \neq 0$, the sequence $[\xi(3/2)^n]$, $n = 0, 1, 2, \dots$, contains infinitely many even and infinitely many odd numbers. See, e.g., [8] for some recent work related to the distribution of the fractional parts of power sequences and [1] for some applications of this kind of problems to so-called rational base number systems.

In the next section we give a proof of Theorem 4. Section 3 contains two auxiliary statements. Note that Lemma 5 is a bit more general than we need for Theorem 3. Section 4 describes Sturmian words. In Sect. 5 the proof of Theorem 3 is given for the sequence $[\xi(-2)^n + \nu]$, $n = 0, 1, 2, \dots$. As we already said above, the result given in Theorem 9 is more general, since ν is not necessarily a constant, but a sequence ν_n , $n = 0, 1, 2, \dots$, which depends on n . In Sect. 6 we complete the proof of Theorem 3. Finally, in Sect. 7, Problem 2 is solved for $\xi = 1$ and integer α .

2 On the periodicity of a recurrence sequence

Consider the vectors $v_n = (x_n, x_{n+1}, \dots, x_{n+d-1}) \in \mathbb{Z}^d$, where $n \in \mathbb{N}$. Such vectors take at most q^d distinct values modulo q . So there exist $n, t \in \mathbb{N}$ such that $v_n = v_{n+t}$ modulo q . The recurrence relation (1) implies that $x_{n+d} = x_{n+t+d}$ modulo q , hence $v_{n+1} = v_{n+t+1}$ modulo q . On applying this argument step by step, we deduce that $x_{n+j} = x_{n+t+j}$ for each integer $j \geq 0$. This means that the sequence x_1, x_2, x_3, \dots is ultimately periodic modulo q . (Note that in this part the same implication holds if (1) is replaced by a more general recurrence relation $x_{n+d} = F_0(x_n, x_{n+1}, \dots, x_{n+d-1})$, where $F_0(z_0, z_1, \dots, z_{d-1}) \in \mathbb{Z}[z_0, z_1, \dots, z_{d-1}]$.)

Assume that there is an integer $\ell > 1$ such $x_{\ell+j} = x_{\ell+t+j}$ modulo q for each $j \geq 0$, but $x_{\ell-1} \neq x_{\ell-1+t}$ modulo q . Expressing $x_{\ell-1+d}$ by (1), then $x_{\ell-1+t+d}$ by (1), and subtracting the second expression from the first, we derive that $c(x_{\ell-1} - x_{\ell-1+t})$ is divisible by q . Since $\gcd(c, q) = 1$, this implies that $x_{\ell-1} = x_{\ell-1+t}$ modulo q , a contradiction. Thus such an $\ell > 1$ does not exist. It follows that $\ell = 1$, so $x_{1+j} = x_{1+t+j}$ modulo q for each integer $j \geq 0$. Hence the sequence x_1, x_2, x_3, \dots is purely periodic modulo q .

Since $\lim_{n \rightarrow \infty} |x_n| = \infty$, there is a positive integer k_0 such that $|x_k| \geq |c| + 1$ for each $k \geq k_0$. There is nothing to prove if the numbers x_k , $k = k_0, k_0 + 1, \dots$, are all composite. Suppose at least one of them, say, $q = |x_m|$, where $m \geq k_0$, is prime. From $q = |x_m| > |c|$ we obtain $\gcd(c, q) = 1$. Since the sequence $x_m, x_{m+1}, x_{m+2}, \dots$ is purely periodic modulo q , it contains infinitely many elements divisible by q . Hence infinitely many of its elements are composite numbers.

3 Auxiliary results

Lemma 5 *Let $P(z) = a_d(z - \alpha_1) \dots (z - \alpha_d) = a_d z^d + \dots + a_0$ be a separable (not necessarily irreducible) polynomial with rational coefficients, and let $\xi_1, \dots, \xi_d \in \mathbb{C}$. Set $S_n = \sum_{j=1}^d \xi_j \alpha_j^n \in \mathbb{Q}$ for each integer $n \geq 0$. If the number S_n is rational for some d distinct nonnegative integer values of n , say, for $n = n_1, \dots, n_d$, where $n_j \geq 0$, then, for every $j = 1, \dots, d$, we have $\xi_j = G(\alpha_j)$, where $G(z) = g_m z^m + \dots + g_1 z + g_0$ is a polynomial with rational coefficients. Moreover, the number S_n is rational for each integer $n \geq 0$.*

Proof There is no loss of generality in assuming that $n_1 < \dots < n_d$. Consider the system of d linear equations

$$\xi_1 \alpha_1^{n_j} + \xi_2 \alpha_2^{n_j} + \dots + \xi_d \alpha_d^{n_j} = S_{n_j},$$

$j = 1, \dots, d$, in d unknowns ξ_1, \dots, ξ_d . The numbers $\alpha_1, \dots, \alpha_d$ are distinct, so $\xi_i = \det(A_i) / \det(A)$, $i = 1, \dots, d$, is the unique solution of the linear system. Here, $A = (\alpha_i^{n_j})_{i,j=1,\dots,d}$ and, for $i = 1, \dots, d$, A_i is the matrix A whose i th column is replaced by the column $(S_{n_1}, \dots, S_{n_d})^t$, where t stands for the transpose. Both $\det(A_i)$ and $\det(A)$ are divisible by the product $W_i = \prod_{1 \leq u < v \leq d, u, v \neq i} (\alpha_v - \alpha_u)$. Let $W = \prod_{1 \leq u < v \leq d} (\alpha_v - \alpha_u)$ be a corresponding Vandermonde determinant, and let

$$Q_i = (\alpha_i - \alpha_1) \dots (\alpha_i - \alpha_{i-1})(\alpha_{i+1} - \alpha_i) \dots (\alpha_d - \alpha_i).$$

Then $W = W_i Q_i$ for every $i = 1, \dots, d$, and $Q_i = (-1)^{d-i} P'(\alpha_i) / a_d$.

It is easy to see that $\det(A)$ is equal to $W\sigma$, where σ is a symmetric function in $\alpha_1, \dots, \alpha_d$, so $\sigma \in \mathbb{Q}$. Similarly, expanding $\det(A_1)$ by its first column, we deduce that

$$\det(A_1) = W_1 \sum_{j=1}^d S_{n_j} \sigma_j,$$

where each σ_j is a symmetric function in $\alpha_2, \dots, \alpha_d$. Hence $\sigma_j = G_j(\alpha_1)$ with some $G_j(z) \in \mathbb{Q}[z]$. It follows that

$$\begin{aligned} \xi_1 &= \frac{\det(A_1)}{\det(A)} = \frac{W_1 \sum_{j=1}^d S_{n_j} \sigma_j}{W\sigma} \\ &= \frac{\sum_{j=1}^d S_{n_j} \sigma_j}{\sigma Q_1} = \frac{a_d \sum_{j=1}^d S_{n_j} G_j(\alpha_1)}{(-1)^{d-1} P'(\alpha_1) \sigma} \in \mathbb{Q}(\alpha_1). \end{aligned} \tag{2}$$

Hence there is a polynomial $G(z) = g_m z^m + \dots + g_0$ with rational coefficients such that $\xi_1 = G(\alpha_1)$. We will show that $\xi_i = G(\alpha_i)$ for every $i = 1, 2, \dots, d$.

Indeed, let A_i^* and A^* be the matrices obtained from A_i and A , respectively, by putting the i th column as the first column and moving the first $i - 1$ columns by one to the right. Then $\det(A_i^*) = (-1)^{i-1} \det(A_i)$ and $\det(A^*) = (-1)^{i-1} \det(A)$, so expanding $\det(A_i^*)$ by its first column and using $W = W_i Q_i$, $Q_i = (-1)^{d-i} P'(\alpha_i) / a_d$ we obtain

$$\begin{aligned} \xi_i &= \frac{\det(A_i)}{\det(A)} = \frac{\det(A_i^*)}{\det(A^*)} = \frac{W_i \sum_{j=1}^d S_{n_j} \sigma_j^*}{(-1)^{i-1} W \sigma} \\ &= \frac{\sum_{j=1}^d S_{n_j} \sigma_j^*}{(-1)^{i-1} \sigma Q_i} = \frac{a_d \sum_{j=1}^d S_{n_j} G_j(\alpha_i)}{(-1)^{d-1} P'(\alpha_i) \sigma}. \end{aligned}$$

This is the same expression as obtained in (2) for ξ_1 , except for α_1 is replaced by α_i . This proves our assertion claiming that $\xi_i = G(\alpha_i)$ for each $i = 1, 2, \dots, d$.

Clearly, for each integer $n \geq 0$, the sum

$$\begin{aligned}
 S_n &= \sum_{j=1}^d \xi_j \alpha_j^n = \sum_{j=1}^d G(\alpha_j) \alpha_j^n \\
 &= \sum_{j=1}^d (g_m \alpha_j^m + \dots + g_1 \alpha_j + g_0) \alpha_j^n = \sum_{i=0}^m g_i \sum_{j=1}^d \alpha_j^{n+i}
 \end{aligned}$$

is a rational number, because the sums $\alpha_1^n + \alpha_2^n + \dots + \alpha_d^n$, $n = 0, 1, 2, \dots$, are rational numbers. □

Lemma 6 *Let a be an integer satisfying $|a| \geq 2$, and let $v_0, v_1, v_2, \dots \in I$ and ξ be real numbers, where I is a half open subinterval of \mathbb{R} of length $1/(1 + |a|)$. Then the sequence*

$$[\xi a^{n+1} + v_{n+1}] - a[\xi a^n + v_n],$$

$n = 0, 1, 2, \dots$, is ultimately periodic if and only if $\xi \in \mathbb{Q}$.

Proof Set

$$x_n = [\xi a^n + v_n] \quad \text{and} \quad y_n = \{\xi a^n + v_n\}.$$

Since $x_n + y_n = \xi a^n + v_n$, we derive that $a(x_n + y_n - v_n) = x_{n+1} + y_{n+1} - v_{n+1}$ for each $n \geq 0$. Hence

$$s_n = x_{n+1} - ax_n = ay_n - y_{n+1} + v_{n+1} - av_n. \tag{3}$$

is an integer for each integer $n \geq 0$.

Suppose that $\xi = u/v$, where $u \in \mathbb{Z}$ and $v \in \mathbb{N}$. We will show that the sequence of fractional parts y_n , $n = 0, 1, 2, \dots$, is ultimately periodic, which, by (3), implies that $s_n = x_{n+1} - ax_n$, $n = 0, 1, 2, \dots$, is ultimately periodic. Indeed, if there is a $t \in \mathbb{N}$ such that $y_n = y_{n+t}$ for each sufficiently large n , then, by (3),

$$s_{n+t} - s_n = v_{n+t+1} - av_{n+t} - v_{n+1} + av_n.$$

Since all v_n , $n \geq 0$, lie in the half open interval I of length $1/(1 + |a|)$, the right hand side is smaller than 1 and greater than -1 . But $s_{n+t} - s_n \in \mathbb{Z}$, so $s_{n+t} = s_n$. Hence the sequence s_0, s_1, s_2, \dots is ultimately periodic.

Now, we shall prove that y_n , $n = 0, 1, 2, \dots$, is ultimately periodic. Write $v = v_0 v_1$, where v_1 is the largest integer such that $v_1 | v$ and $\gcd(|a|, v_1) = 1$. Let also $v \in [k + k_1/v_1, k + (k_1 + 1)/v_1)$, where $k \in \mathbb{Z}$ and $k_1 \in \{0, 1, \dots, v_1 - 1\}$. For a given $v \in \mathbb{R}$, such a pair k, k_1 is unique. Clearly, $v_0 | a^n$ for each sufficiently large $n \in \mathbb{N}$, say, for $n \geq n_0$. Hence $\{\xi a^n\} = \{ua^n/v\} = \{ua^n/v_0 v_1\}$ takes one of the values $0, 1/v_1, 2/v_1, \dots, (v_1 - 1)/v_1$. Thus $\{\xi a^n\} = j_n/v_1$, where $j_n \in \{0, 1, \dots, v_1 - 1\}$.

Since $\{v\} \in [k_1/v_1, (k_1 + 1)/v_1)$, we obtain $y_n = \{\xi a^n + v\} = \{(j_n + k_1)/v_1\}$. In order to show that $y_n = \{\xi a^n + v\}$, $n = 0, 1, 2, \dots$, is ultimately periodic, it suffices to prove that the sequence j_n , $n = 0, 1, 2, \dots$, is ultimately periodic. This is the case if the sequence of integers ua^n/v_0 , $n = n_0, n_0 + 1, \dots$, modulo v_1 is ultimately periodic. Since $\gcd(|a|, v_1) = 1$, by Euler’s theorem, we have $v_1 | (a^{\varphi(v_1)} - 1)$. (This holds for negative a too, because $\varphi(v_1)$ is even for each $v_1 \geq 3$. For $v_1 = 2$, the numbers $a - 1$ and $-a - 1$ have the same parity.) Consequently, the sequence of integers ua^n/v_0 , $n = n_0, n_0 + 1, \dots$, modulo v_1 is purely periodic with period $\varphi(v_1)$. (This is not necessarily the smallest period.) This implies the assertion of the lemma for $\xi \in \mathbb{Q}$.

It remains to prove that the sequence s_n , $n = 0, 1, 2, \dots$, given in (3) is not ultimately periodic if $\xi \notin \mathbb{Q}$. Suppose that it is ultimately periodic with period t . Then $x_{n+1+t} - ax_{n+t} = x_{n+1} - ax_n$ for each $n \geq n_1$. Equivalently, we have

$$x_{n+1+t} = ax_{n+t} + x_{n+1} - ax_n \tag{4}$$

for $n \geq n_1$. The characteristic equation of (4) is $\lambda^{t+1} - a\lambda^t - \lambda + a = (\lambda - a)(\lambda^t - 1) = 0$. It has $t + 1$ distinct roots, so $x_n = c_0 a^n + c_1 w_1^n + \dots + c_t w_t^n$ for each $n \geq n_1$, where $w_j = e^{2\pi i(j-1)/t}$ for $j = 1, \dots, t$ and $c_j \in \mathbb{C}$ for $j = 0, 1, \dots, t$.

Similarly, from (3) and $s_{n+t} = s_n$, we find that $y_{n+t+1} - v_{n+t+1} - a(y_{n+t} - v_{n+t}) = y_{n+1} - v_{n+1} - a(y_n - v_n)$ for $n \geq n_1$. Consequently, as above, $y_n - v_n = f_0 a^n + f_1 w_1^n + \dots + f_t w_t^n$, where $f_0, f_1, \dots, f_t \in \mathbb{C}$. The quantity $f_0 a^n = y_n - v_n - f_1 w_1^n - \dots - f_t w_t^n$ is bounded by a constant independent of n . Letting $n \rightarrow \infty$, we deduce that $f_0 = 0$, because $|a| > 1$. Adding x_n and y_n , we obtain

$$x_n + y_n = c_0 a^n + (c_1 + f_1)w_1^n + \dots + (c_t + f_t)w_t^n + v_n = \xi a^n + v_n.$$

Since w_1, \dots, w_t are roots of unity, the sum $(c_1 + f_1)w_1^n + \dots + (c_t + f_t)w_t^n - v_n$ is bounded by an absolute constant independent of n . Hence, as above, $c_0 = \xi$. Since $x_n \in \mathbb{Z}$ for every integer $n \geq 0$, by Lemma 5 applied to $d = t + 1$, $S_n = x_n$, any set of $t + 1$ distinct integers greater than n_1 , and the polynomial $P(z) = (z - a)(z^t - 1)$, we deduce that $\xi = c_0 = G(a)$. As $a \in \mathbb{Z}$ and $G(z) \in \mathbb{Q}[z]$, we have $\xi = G(a) \in \mathbb{Q}$, contrary to our assumption $\xi \notin \mathbb{Q}$. □

4 Sturmian sequences

Let \mathcal{A} be an alphabet consisting of two letters U and V . Any sequence (finite or infinite) of letters U and V of \mathcal{A} is called *word*. Any string of consecutive letters of a word is called *factor* or *subword*. Let $p(\mathbf{w}, m)$ be the number of distinct blocks of length m occurring in a word \mathbf{w} . For instance, $p(UV^3U^2, 3) = 4$. Here and below, e.g., $V^3 = VVV$ and $(VU)^\infty = VUVUVU\dots$. By an old result of Morse and Hedlund [15], every infinite word \mathbf{w} is either ultimately periodic or $p(\mathbf{w}, m) \geq m + 1$ for each $m \in \mathbb{N}$. An infinite word \mathbf{w} is called *Sturmian* if $p(\mathbf{w}, m) = m + 1$ for each $m \in \mathbb{N}$.

Lemma 7 *Suppose that \mathbf{w} is an infinite word of the alphabet $\{U, V\}$ which is not ultimately periodic. Then \mathbf{w} is Sturmian, if and only if, for any finite word \mathbf{v} of the*

alphabet $\{U, V\}$, either $U \nabla U$ or $V \nabla V$ is not a subword of \mathbf{w} . Furthermore, every Sturmian word \mathbf{w} of the alphabet $\{U, V\}$, starting from some place, consists either of the blocks UV^k and UV^{k+1} only or of the blocks VU^k and VU^{k+1} only, where k is a positive integer.

Proof The first statement is well known. See, e.g., Chap. 2 in [12] or combine Proposition 10.5.7 and Theorem 10.5.8 in [3]. Let us prove the second statement directly (without using the first part). If \mathbf{w} is a Sturmian word, then it is not ultimately periodic, so contains infinitely many subwords UV and VU . Since $p(\mathbf{w}, 2) = 3$, the word \mathbf{w} contains either V^2 or U^2 . Suppose it contains V^2 and no U^2 . Then the word \mathbf{w} , starting from some place, has the form $UV^{k_1}UV^{k_2}UV^{k_3} \dots$, where $k_1, k_2, k_3, \dots \in \mathbb{N}$. Let $k = \min(k_1, k_2, k_3, \dots)$. If $k_j \geq k + 2$ for at least one $j \in \mathbb{N}$, then \mathbf{w} contains the blocks $UV^kU, V^kUV, \dots, UV^{k+1}, V^{k+2}, V^{k+1}U$. Then $p(\mathbf{w}, k + 2) \geq k + 4$, so the word \mathbf{w} is not Sturmian, a contradiction. The other case, when \mathbf{w} contains U^2 and no V^2 , is symmetric. \square

Lemma 8 *Let ξ be an irrational real number and $v \in \mathbb{R}$. If the sequence $[\xi 2^n + v]$, $n = 0, 1, 2, \dots$, contains only finitely many even elements, then there exist $m \in \mathbb{N}$ and $k \in \mathbb{Z}$ such that, for each $n \geq m$,*

$$y_n = \{\xi 2^n + v\} = v + 2k - 1 + \sum_{j=0}^{\infty} \ell_{n+j} 2^{-j}, \tag{5}$$

where $\ell_m, \ell_{m+1}, \ell_{m+2}, \dots \in \{0, 1\}$, and $\ell_m \ell_{m+1} \ell_{m+2} \dots$ is a Sturmian word on the alphabet $\{0, 1\}$.

Proof The proof is similar to that of Theorem 2.1 in [5]. By (3) with $a = 2$ and $v_0 = v_1 = v_2 = \dots = v$, we have $s_n = x_{n+1} - 2x_n = 2y_n - y_{n+1} - v \in (-1 - v, 2 - v)$, where $x_n = [\xi 2^n + v]$. The interval $(-1 - v, 2 - v)$ contains at most three (consecutive) integers, say, $b - 1, b, b + 1$, so $x_{n+1} - 2x_n = s_n \in \{b - 1, b, b + 1\}$ for each $n \geq 0$. Since x_{n+1} , $n = 0, 1, 2, \dots$, is odd for each sufficiently large n , the corresponding $s_n \in \{b - 1, b, b + 1\}$ must be odd. By Lemma 6, the sequence s_0, s_1, s_2, \dots is not ultimately periodic. Hence b must be even, say, $b = 2k$, and, moreover, there is an $m \in \mathbb{N}$ such that $s_n \in \{b - 1, b + 1\} = \{2k - 1, 2k + 1\}$ for each $n \geq m$.

Thus, for any $n \geq m$, we can write

$$s_n = 2k - 1 + 2\ell_n \tag{6}$$

with some $\ell_n \in \{0, 1\}$. From $s_n = 2y_n - y_{n+1} - v = 2(y_n - v) - (y_{n+1} - v)$, we deduce that

$$\begin{aligned} y_n - v &= 2^{-1}(s_n + y_{n+1} - v) \\ &= 2^{-1}s_n + 2^{-2}(s_{n+1} + y_{n+2} - v) = \dots = \sum_{j=1}^{\infty} s_{n+j-1} 2^{-j}, \end{aligned}$$

because $\lim_{j \rightarrow \infty} (y_{n+j} - v)2^{-j} = 0$. Setting (6) into the last infinite sum, we get that $y_n - v = 2k - 1 + \sum_{j=0}^{\infty} \ell_{n+j}2^{-j}$ for each $n \geq m$. This proves (5).

We already know that the word $\mathbf{w} = \ell_m \ell_{m+1} \ell_{m+2} \dots$ is not ultimately periodic [see (6)]. Suppose that \mathbf{w} is not Sturmian. Then, by Lemma 7, for some word \mathbf{u} of the alphabet $\{0, 1\}$, the word \mathbf{w} contains two subwords $1\mathbf{u}1$ and $0\mathbf{u}0$. Suppose they start at k th and l th positions, respectively. If \mathbf{u} is of length $L \geq 0$, then, selecting $n = m + k - 1$ and $n = m + l - 1$ in (5), we obtain

$$\begin{aligned} y_{m+k-1} - y_{m+l-1} &= \sum_{j=0}^{\infty} (\ell_{m+k-1+j} - \ell_{m+l-1+j})2^{-j} \\ &= 1 + 2^{-L-1} + \sum_{j=L+2}^{\infty} (\ell_{m+k-1+j} - \ell_{m+l-1+j})2^{-j}. \end{aligned}$$

Since the word $\ell_m \ell_{m+1} \ell_{m+2} \dots$ is not ultimately periodic, $\ell_{m+k-1+j} - \ell_{m+l-1+j} \neq -1$ for some $j \geq L+2$. This implies that the sum $\sum_{j=L+2}^{\infty} (\ell_{m+k-1+j} - \ell_{m+l-1+j})2^{-j}$ is strictly greater than -2^{-L-1} . It follows that $y_{m+k-1} - y_{m+l-1} > 1 + 2^{-L-1} - 2^{-L-1} = 1$. This is a contradiction with the trivial inequality $y_{m+k-1} - y_{m+l-1} \leq y_{m+k-1} < 1$. □

5 Powers of -2

In this section, we prove the following:

Theorem 9 *Let $\xi \neq 0$ be a real number, and let $I \subset \mathbb{R}$ be a half open interval of length $1/3$. Suppose that $v_0, v_1, v_2, \dots \in I$. Then the sequence $[\xi(-2)^n + v_n]$, $n = 0, 1, 2, \dots$, contains infinitely many composite elements. Moreover, if the number ξ is irrational, then the sequence contains infinitely many elements divisible by 2 or 3.*

Proof By (3), we obtain $s_n = x_{n+1} + 2x_n = 2v_n + v_{n+1} - 2y_n - y_{n+1}$. Write $I = [v, v + 1/3)$ or $I = (v, v + 1/3]$. In both cases, all s_n belong to the interval $(3v - 3, 3v + 1]$ of length 4. This interval contains exactly 4 consecutive integers, say, $b - 1, b, b + 1, b + 2$. Hence $s_n \in \{b - 1, b, b + 1, b + 2\}$. If the sequence $s_n, n = 0, 1, 2, \dots$, is ultimately periodic, then $x_{n+t+1} + 2x_{n+t} = x_{n+1} + 2x_n$ for some $t \in \mathbb{N}$ and each $n \geq n_0$. By Lemma 6, this can only happen if $\xi \in \mathbb{Q}$. Then, by Theorem 4, where $d = t + 1, c = 2$ and $F(z_1, z_2, \dots, z_{d-1}) = z_1 - 2z_{d-1}$, the sequence x_1, x_2, x_3, \dots contains infinitely many composite numbers.

Suppose that ξ is irrational, but the sequence $x_n = [\xi(-2)^n + v_n]$ contains only finitely many elements divisible by 2 and 3. By Lemma 6, the sequence $s_n, n = 0, 1, 2, \dots$, is not ultimately periodic. Note that if s_n is even, then $x_{n+1} = -2x_n + s_n$ is even too. Hence s_n must be odd for each sufficiently large n . The set $\{b - 1, b, b + 1, b + 2\}$ contains two even and two odd numbers, say, $2k - 1$ and $2k + 1$. It follows that $s_n \in \{2k - 1, 2k + 1\}$ for each sufficiently large n , say, for $n \geq n_0$. Suppose that n_1 is so large that, for each $n \geq n_1, x_n$ is not divisible by 3. So, for $n \geq N = \max(n_0, n_1)$, the number x_n modulo 3 is either 1 or 2. The pair $2k - 1, 2k + 1$ modulo 3 is either

0, 2 or 1, 0 or 2, 1. We shall refer to these cases as the first case, the second case and the third case, respectively.

Consider the sequence $s_N, s_{N+1}, s_{N+2}, \dots$ modulo 3. Instead of s_n we shall write the letter U if $s_n \pmod 3 = 0$, the letter V if $s_n \pmod 3 = 1$, and the letter T if $s_n \pmod 3 = 2$, and consider a corresponding word. For instance, if $s_N = 9, s_{N+1} = 11, s_{N+2} = s_{N+3} = 9, \dots$, then the word is $UTUU \dots$. In each of the three cases, since $s_n \in \{2k - 1, 2k + 1\}$, where k is a fixed integer, our word consists of some two letters of the alphabet $\{U, V, T\}$. Obviously, the word is ultimately periodic if and only if the sequence $s_N, s_{N+1}, s_{N+2}, \dots \in \{2k - 1, 2k + 1\}$ itself is ultimately periodic. By Lemma 6, the sequence $s_N, s_{N+1}, s_{N+2}, \dots$ is not ultimately periodic, so the word of the alphabet $\{U, V, T\}$ obtained from $s_N, s_{N+1}, s_{N+2}, \dots \pmod 3$ is also not ultimately periodic. To obtain a contradiction, we shall consider the sequence $x_N, x_{N+1}, x_{N+2}, \dots \pmod 3 \in \{1, 2\}$.

Suppose, for instance, that the letter U stands at the j th place of the word, i.e., the j th element of the sequence $s_N, s_{N+1}, s_{N+2}, \dots \pmod 3$ is 0, namely, $s_{N+j-1} \pmod 3 = 0$. Setting $n = N + j - 1$ and using $s_n = x_{n+1} + 2x_n$, where x_n, x_{n+1} modulo 3 are both in $\{1, 2\}$, we shall also use this letter U to denote the function which acts as a map $\{1, 2\} \mapsto \{1, 2\}$ and is defined by

$$U : x_n \pmod 3 \mapsto x_{n+1} \pmod 3.$$

Clearly, U maps 1 to 1, because

$$x_{n+1} \pmod 3 = (-2x_n + s_n) \pmod 3 = -2x_n \pmod 3 = 1,$$

if $x_n \pmod 3 = 1$, and 2 to 2, because

$$x_{n+1} \pmod 3 = (-2x_n + s_n) \pmod 3 = -2x_n \pmod 3 = 2,$$

if $x_n \pmod 3 = 2$. So $U : \{1, 2\} \mapsto \{1, 2\}$ can be written as the permutation $U = (1)(2)$.

Similarly, let $V : x_n \pmod 3 \mapsto x_{n+1} \pmod 3$. Now, $s_n \pmod 3 = 1$, so $x_{n+1} = -2x_n + s_n$ modulo 3 is 2, if $x_n \pmod 3 = 1$, and 0, if $x_n \pmod 3 = 2$. This is impossible, because $x_{n+1} \pmod 3 \in \{1, 2\}$. So the letter V acts as a permutation, which maps 1 to 2 and 2 to “nowhere.” We shall write it as $V = (12|$, where $|$ means that 2 maps to “nowhere.” More precisely, this means that V cannot occupy the j th place of $s_N, s_{N+1}, s_{N+2}, \dots \pmod 3$ provided that $n = N + j - 1$ and $x_n \pmod 3 = 2$. By the same argument as above, the letter T acts as $T = (21|$.

Summarizing, we conclude that U, V, T are the permutations of $\{1, 2\}$, given by

$$U = (1)(2), \quad V = (12|, \quad T = (21|.$$

Two consecutive symbols work as $UV = (12|, VU = (12|, U^2 = (1)(2), V^2 = (1|(2|$, and so on. We multiply them as permutations reading from the left to right. In particular, this means that the subword V^2 cannot occur in our word. (Indeed, if our word contains somewhere the subword $V^2 = VV$ then, for some $n \geq N$, we have

$s_n = s_{n+1} = 3s + 2$, where $s \in \mathbb{Z}$, so at least one of the integers x_n, x_{n+1}, x_{n+2} is divisible by 3, because $x_{n+1} + 2x_n = x_{n+2} + 2x_{n+1} = 3s + 2$.) Furthermore, our word cannot contain any subword consisting of U, V, T , which acts as $(1|(2|$.

In the first case, when $(2k - 1) \pmod 3 = 0$ and $(2k + 1) \pmod 3 = 2$, the word consists of U and T . Since $T^2 = (1|(2|$ cannot occur, the word (which is not ultimately periodic), starting from a certain place, is $TU^{k_1}TU^{k_2} \dots$, where $k_1, k_2, \dots \in \mathbb{N}$. However, if $k \in \mathbb{N}$, then $TU^kT = (1|(2|$, so this subword cannot occur, a contradiction.

In the second case, when $2k - 1, 2k + 1$ modulo 3 is 1, 0, the word consists of U and V . Now, $V^2 = (1|(2|$ cannot occur, so the word, starting from a certain place, consists of $VU^{k_1}VU^{k_2} \dots$, where $k_1, k_2, \dots \in \mathbb{N}$. However, $VU^kV = (1|(2|$ cannot occur, a contradiction.

In the third case, $2k - 1, 2k + 1$ modulo 3 is 2, 1. Now, we have the word consisting of V and T , which is not ultimately periodic. Neither $V^2 = (1|(2|$ nor $T^2 = (1|(2|$ can occur, so the word, starting from a certain place, must be $(VT)^\infty = VT V T V T \dots$, so it is ultimately periodic, a contradiction.

This proves that, for each $\xi \notin \mathbb{Q}$, the sequence $x_n = [\xi(-2)^n + v_n], n = 0, 1, 2, \dots$, contains infinitely many elements divisible by 2 or 3. □

Note that in the above proof we did not use the results on Sturmian sequences given in Sect. 3.

6 Proof of Theorem 3

Now, let $a = 2$. This time, by (3), where $v_0 = v_1 = v_2 = \dots = v$, we have $s_n = x_{n+1} - 2x_n = 2y_n - y_{n+1} - v$, so $-1 - v < s_n < 2 - v$. The interval $(-1 - v, 2 - v)$ of length 3 contains at most 3 integers. Hence $s_n \in \{b - 1, b, b + 1\}$ for some $b \in \mathbb{Z}$. If the sequence $s_n, n = 0, 1, 2, \dots$, is ultimately periodic, then $x_{n+t+1} - 2x_{n+t} = x_{n+1} - 2x_n$ for some $t \in \mathbb{N}$ and each $n \geq n_0$. As above, by Lemma 6, this can only happen if $\xi \in \mathbb{Q}$. So, by Theorem 4, where $d = t + 1, c = -2$ and $F(z_1, z_2, \dots, z_{d-1}) = z_1 + 2z_{d-1}$, the sequence x_1, x_2, x_3, \dots contains infinitely many composite numbers.

Suppose that ξ is irrational, but the sequence $x_n = [\xi 2^n + v]$ contains only finitely many elements divisible by 2. By Lemma 8, there exist $m \in \mathbb{N}$ and $k \in \mathbb{Z}$ such that, for each $n \geq m, y_n$ is expressible by (5), where $\ell_m, \ell_{m+1}, \ell_{m+2}, \dots \in \{0, 1\}$, and $\ell_m \ell_{m+1} \ell_{m+2} \dots$ is a Sturmian word of the alphabet $\{0, 1\}$. Moreover, $b = 2k$ and $s_n = 2k - 1 + 2\ell_n$, by (6). Hence $s_n \in \{2k - 1, 2k + 1\}$ for each $n \geq m$.

Assume that the sequence $x_n, n = 1, 2, 3, \dots$, contains only finitely many elements divisible by 3. Let n_2 be so large that, for each $n \geq n_2, x_n$ is not divisible by 3. Now, for $n \geq N = \max(m, n_2)$, the sequence $x_N, x_{N+1}, x_{N+2}, \dots$ modulo 3 consists of 1 and 2 only. As above, the pair $2k - 1, 2k + 1$ modulo 3 is either 0, 2 (the first case) or 1, 0 (the second case) or 2, 1 (the third case).

Once again consider the sequence $s_N, s_{N+1}, s_{N+2}, \dots$ modulo 3. Instead of s_n we shall write the letter U if $s_n \pmod 3 = 0$, the letter V if $s_n \pmod 3 = 1$, and the letter T if $s_n \pmod 3 = 2$. As above, in each of the three cases, our word consists

of some two letters of the alphabet $\{U, V, T\}$. In addition, this time, by Lemma 8, we know that this word is Sturmian.

As in Sect. 5, we may look at U, V, T as some permutations acting on the sequence $x_N, x_{N+1}, x_{N+2}, \dots \pmod 3 \in \{1, 2\}$ as some functions $\{1, 2\} \mapsto \{1, 2\}$, given by $x_n \pmod 3 \mapsto x_{n+1} \pmod 3$. Now, assume that U stands at the j th place of the word, i.e., the j th element of the sequence $s_N, s_{N+1}, s_{N+2}, \dots \pmod 3$ is 0, namely, $s_{N+j-1} \pmod 3 = 0$. Set $n = N + j - 1$. Using $x_{n+1} = 2x_n + s_n$, where $s_n \pmod 3 = 0$ and x_n, x_{n+1} modulo 3 are both in $\{1, 2\}$, we have $U : x_n \pmod 3 \mapsto 2x_n \pmod 3$. Therefore, U maps 1 to 2 and 2 to 1, giving $U = (12)$. Similarly, $V : x_n \pmod 3 \mapsto (2x_n + 1) \pmod 3$ maps 2 to 2, but cannot be applied to 1, i.e., V cannot occupy the j th place of $s_N, s_{N+1}, s_{N+2}, \dots \pmod 3$ provided that $n = N + j - 1$ and $x_n \pmod 3 = 2$. Thus V can be written in the form $V = (1|2)$, where the meaning of $|$ is the same as that in Sect. 5. Analogously, $T : x_n \pmod 3 \mapsto (2x_n + 2) \pmod 3$ maps 1 to 1, but cannot be applied to 2. It follows that

$$U = (12), \quad V = (1|2), \quad T = (1)(2|).$$

As above, we can multiply these permutations left to right, so, e.g., $UV = (12|)$. We will show, however, that every Sturmian word of the alphabet $\{U, V, T\}$ contains a subword, which acts as $(1|2|)$, so it cannot occur!

In the first case, when $2k - 1, 2k + 1$ modulo 3 is 0, 2, respectively, we have a Sturmian word on the alphabet $\{U, T\}$. By the second part of Lemma 7, from a certain place, the word is either $UT^{k_1}UT^{k_2} \dots$ or $TU^{k_1}TU^{k_2} \dots$, where $k_1, k_2, \dots \in \{k, k + 1\}$ with $k \in \mathbb{N}$. Note that $UT^kUT = (1|2|)$ cannot occur, so the word cannot be $UT^{k_1}UT^{k_2} \dots$. However, every Sturmian word $TU^{k_1}TU^{k_2} \dots$, where $k_1, k_2, \dots \in \{k, k + 1\}$, must contain the subword $TU^kTU^{k+1}T$. Since $TU^rT = (1)(2|)$ for r even, and $TU^rT = (1|2|)$ for r odd, either TU^kT or $TU^{k+1}T$ acts as $(1|2|)$. Hence $TU^kTU^{k+1}T$ always acts as $(1|2|)$ independent of the parity of k , a contradiction. This completes the proof in the first case.

In the second case, when $2k - 1, 2k + 1$ modulo 3 is 1, 0, we have a Sturmian word on the alphabet $\{U, V\}$. By the second part of Lemma 7, from a certain place, the word is either $UV^{k_1}UV^{k_2} \dots$ or $VU^{k_1}VU^{k_2} \dots$, where $k_1, k_2, \dots \in \{k, k + 1\}$ with $k \in \mathbb{N}$. Now, the subword $UV^kUV = (1|2|)$ cannot occur, which rules out the possibility $UV^{k_1}UV^{k_2} \dots$. It is easy to see that the word VU^rV may only occur for r even, when $VU^rV = (1)(2)$, because $VU^rV = (1|2|)$ for r odd. But every Sturmian word $VU^{k_1}VU^{k_2} \dots$, where $k_1, k_2, \dots \in \{k, k + 1\}$, must contain the subword $VU^kVU^{k+1}V$. By the above, at least one of the words $VU^kV, VU^{k+1}V$ is $(1|2|)$, so $VU^kVU^{k+1}V = (1|2|)$ independent of the parity of k . Hence the subword $VU^kVU^{k+1}V$ cannot occur, a contradiction. This finishes the proof in the second case.

In the third case, $2k - 1, 2k + 1$ modulo 3 is 2, 1. Now, our word is a Sturmian word on the alphabet $\{V, T\}$. In particular, it is not ultimately periodic. Neither $VT = (1|2|)$ nor $TV = (1|2|)$ can occur. Hence the word, starting from a certain place, must be V^∞ or T^∞ , so it is ultimately periodic, a contradiction. This completes the proof of Theorem 3 for $a = 2$. □

7 Consecutive composite numbers close to powers of an integer

In this section, we show that the answer to Problem 2 is negative if $\xi = 1$ and α is an integer. The proof is elementary. It can be generalized to any rational $\xi > 0$.

Theorem 10 *Suppose that $a \geq 2$ and $r \geq 0$ are integers. Then, for infinitely many positive integers n , every integer $\ell \in [a^n - r, a^n + r]$ is a composite number.*

Proof Suppose that $j_1 < j_2 < \dots < j_k$ are all integers of the set $\{1, 2, \dots, r\}$ which are relatively prime to a . Evidently, if $j \in [-r, r]$ is an integer which is not of the form $\pm j_t$ for some $t \in \{1, 2, \dots, k\}$, then $a^n + j$ is composite for each sufficiently large n .

Alternatively, suppose that $j = \pm j_t$ for some $t \in \{1, 2, \dots, k\}$. Set

$$S = \varphi(a^u - j_1)\varphi(a^u + j_1) \dots \varphi(a^u - j_k)\varphi(a^u + j_k), \quad (7)$$

where φ is Euler's function, and u is a fixed positive integer satisfying $a^u - j_k \geq 2$. We claim that the numbers $a^{nS+u} \pm j_t$ are composite for each sufficiently large $n \in \mathbb{N}$.

Indeed, by Euler's theorem, $a^{\varphi(a^u + j_t)}$ modulo $a^u + j_t$ is 1, because $\gcd(a^u + j_t, a) = 1$. Hence, by (7), $a^{nS+u} + j_t$ modulo $a^u + j_t$ is zero. Thus $a^{nS+u} + j_t$ is divisible by $a^u + j_t$ and greater than $a^u + j_t$, if n is large enough. Hence $a^{nS+u} + j_t$ is a composite number. Similarly, by the choice of S in (7), the number $a^{nS+u} - j_t$ is composite for each sufficiently large $n \in \mathbb{N}$, because it is divisible by $a^u - j_t$ and greater than $a^u - j_t$.

It follows that all the integers $a^{nS+u} - r, a^{nS+u} - r + 1, \dots, a^{nS+u} + r$ are composite, provided that $n \in \mathbb{N}$ is large enough. This completes the proof. \square

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