

# On Littlewood and Newman multiples of Borwein polynomials

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# Papers

- ▶ *On Littlewood and Newman polynomial multiples of Borwein polynomials*, Math. of Comp., (to appear).
- ▶ *On certain multiples of Littlewood and Newman polynomials* (in progress, with G. Junevičius, L.Klebonas)

# Borwein, Newman and Littlewood polynomials

- ▶ Let  $d \in \mathbb{N}$  and let  $P(X)$  be a polynomial

$$P(X) = a_d X^d + a_{d-1} X^{d-1} + \cdots + a_1 X + a_0$$

with integer coefficients  $a_j \in \mathbb{Z}$ . To avoid trivialities:  
 $a_d \cdot a_0 \neq 0$ .

- ▶ **Borwein<sup>1</sup> polynomials:**  $a_j \in \{-1, 0, 1\}$ , eg.

$$P(X) = X^5 - X^2 + 1.$$

- ▶ **Littlewood polynomials:**  $a_j \in \{-1, 1\}$ , eg.

$$P(X) = X^4 + X^3 - X^2 + X - 1.$$

- ▶ **Newman polynomials:**  $a_j \in \{0, 1\}$ , eg.

$$P(X) = X^7 + X + 1.$$

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<sup>1</sup>In honor of Peter Borwein

# Sets $\mathcal{B}$ , $\mathcal{L}$ , $\mathcal{N}$

- ▶  $\mathcal{B}_d$  – Borwein polynomials of degree  $d$ .
- ▶  $\mathcal{L}_d$  – Littlewood polynomials of degree  $d$ .
- ▶  $\mathcal{N}_d$  – Newman polynomials of degree  $d$ .

$$\mathcal{N}_{\leq d} = \bigcup_{j=0}^d \mathcal{N}_j, \quad \mathcal{L}_{\leq d} = \bigcup_{j=0}^d \mathcal{L}_j, \quad \mathcal{B}_{\leq d} = \bigcup_{j=0}^d \mathcal{B}_j.$$

## Multiples of $P(X) \in \mathcal{B}, \mathcal{L}, \mathcal{N}$

Let  $\mathcal{A} \subset \mathbb{Z}[X]$

- ▶  $\mathcal{L}(\mathcal{A})$  – polynomials from  $\mathcal{A}$  that have multiple in  $\mathcal{L}$ .
- ▶  $\mathcal{N}(\mathcal{A})$  – polynomials from  $\mathcal{A}$  that have multiple in  $\mathcal{N}$ .

For instance:

- ▶  $\mathcal{L}(\mathcal{B})$  – Borwein polynomials that divide some  $Q(X) \in \mathcal{L}$ .
- ▶  $\mathcal{N}(\mathcal{B})$  – Borwein polynomials that divide some  $Q(X) \in \mathcal{N}$ .

## Partition of the set $\mathcal{B}$

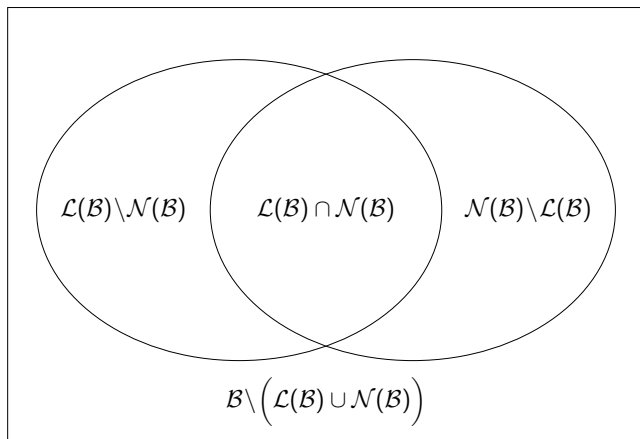


Figure: Decomposition of the set of Borwein polynomials.

# Finding a multiple of $P(X)$ in $\mathcal{D}[X]$

Let  $\mathcal{D} \subset \mathbb{Z}$  be a finite digit set.

## Question

*Given a monic polynomial  $P(X) \in \mathbb{Z}[X]$ , does there exist a nonzero polynomial with coefficients in  $\mathcal{D}$  which is divisible by  $P(X)$ ?*

## Answer

*There exists an algorithm which decides whether a given  $P(X) \in \mathbb{Z}[X]$  without zeros  $|\alpha| = 1$  has a multiple in  $\mathcal{D}[X]$ .*

## Previous work

- [1.] C. FROUGNY, *Representation of numbers and finite automata*, Math. Systems Theory 25 (1992).
- [2.] K.-S. LAU, *Dimension of a family of singular Bernoulli convolutions*, J. Funct. Anal. 116, (1993).
- [3.] P. BORWEIN, K. G. HARE, *Some computations on the spectra of Pisot and Salem numbers*, Math. Comp. 71 (238) (2002).
- [4.] A. DUBICKAS, J. JANKAUSKAS, *On Newman polynomials that divide no Littlewood polynomial*, Math. Comp., 78(265)(2009).
- [5.] D. STANKOV, *On spectra of neither Pisot nor Salem algebraic integers*, Monatsh. Math. 159 (2010), 115–131.
- [6.] K. G. HARE, M. J. MOSSINGHOFF, *Negative Pisot and Salem numbers as roots of Newman polynomials*, Rocky Mountain J. Math. 44 (1) (2014).
- [7.] S. AKIYAMA, J. M. THUSWALDNER, T. ZAIMI, *Comments on the height reducing property II*, Indag. Math. 26(1)(2015).



# The algorithm

- ▶ First:  $R(x) = b_0 \in \mathcal{D}$ , iteration:

$$R(X) \mapsto X \cdot R(X) + d \pmod{P(X)}, d \in \mathcal{D},$$

- ▶ with

$$|R^{(k)}(z)| \leq \frac{k! \cdot \max_{d \in \mathcal{D}} |d|}{||z| - 1|^{k+1}}, \quad 0 \leq k \leq m - 1,$$

at every root  $z = \alpha$ ,  $|z| > 1$  of  $P(X)$  of multiplicity  $m$ .

- ▶ The search graph  $\mathcal{G}$ : paths  $b_0 \rightarrow \cdots \rightarrow b_n \rightarrow 0$ :

$$P(X) \mid Q(X) = b_0 X^n + \cdots + b_{n-1} X + b_n.$$

## $P(X)$ with zeros on the unit circle

When  $P(\alpha) = 0$  at the root  $|\alpha| = 1$ , the search graph  $\mathcal{G}$  might be infinite (unbounded the remainder set). Ways to fix it:

- ▶ If  $0 \in \mathcal{D}$ , or  $\mathcal{D} = -\mathcal{D}$ , one can remove safely all cyclotomic factors from  $P(X)$ .
- ▶ Discard roots  $|\alpha| = 1$  when evaluating the bounds: if algorithm terminates, then it still produces a correct result.

# Computations

We run the Algorithm to verify the statements

$$P(X) \in \mathcal{L}(\mathcal{B}) \text{ and } P(X) \in \mathcal{N}(\mathcal{B})$$

for all  $P(X) \in \mathcal{B}_{\leq 9}$  and calculated the following numbers:

- ▶  $\#(\mathcal{L}(\mathcal{B}_d) \setminus \mathcal{N}(\mathcal{B}))$ ,
- ▶  $\#(\mathcal{N}(\mathcal{B}_d) \setminus \mathcal{L}(\mathcal{B}))$ ,
- ▶  $\#(\mathcal{L}(\mathcal{B}_d) \cap \mathcal{N}(\mathcal{B}_d))$

for every  $d \in \{1, 2, \dots, 9\}$ .

$d$	$\#(\mathcal{L}(\mathcal{B}_d) \setminus \mathcal{N}(\mathcal{B}))$	$\#(\mathcal{N}(\mathcal{B}_d) \setminus \mathcal{L}(\mathcal{B}))$	$\#(\mathcal{L}(\mathcal{B}_d) \cap \mathcal{N}(\mathcal{B}_d))$
1	2	0	2
2	6	0	6
3	24	0	12
4	72	0	32
5	224	0	68
6	612	0	164
7	1518	0	342
8	3610	0	822
9	8564	60	1596

## Theorem 1

*Every Borwein polynomial of degree  $\leq 8$  which divides some Newman polynomial divides some Littlewood polynomial as well.*

From the the partition diagram of  $\mathcal{B}$  one obtains:

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$d$	$\#(\mathcal{B}_d \setminus \mathcal{L}(\mathcal{B}))$	$\#(\mathcal{B}_d \setminus \mathcal{N}(\mathcal{B}))$	$\#(\mathcal{B}_d \setminus (\mathcal{L}(\mathcal{B}) \cup \mathcal{N}(\mathcal{B})))$
1	0	2	0
2	0	6	0
3	0	24	0
4	4	76	4
5	32	256	32
6	196	808	196
7	1056	2574	1056
8	4316	7926	4316
9	16084	24588	16024

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# Polynomials in $\mathcal{B} \setminus \mathcal{L}(\mathcal{B})$

## Proposition 2

*The smallest degree Borwein polynomial which does not divide any Littlewood polynomial is  $P(X) = X^4 + X^3 - X + 1$ .*

*Moreover,*

$$\mathcal{B}_{\leq 4} \setminus \mathcal{L}(\mathcal{B}) = \{\pm P(X), \pm P^*(X)\}.$$

## Polynomials in $\mathcal{B} \setminus \mathcal{N}(\mathcal{B})$

Newman polynomial has **no nonnegative** real roots.

$\mathcal{A}^-$  – polynomials  $P(X) \in \mathcal{A}$  without nonnegative roots.

### Proposition 3

*The smallest degree Borwein polynomial without nonnegative real roots and no Newman multiple is*

$$P(X) = X^3 + X^2 - X + 1,$$

and

$$\mathcal{B}_{\leq 3}^- \setminus \mathcal{N}(\mathcal{B}) = \{\pm P(X), \pm P^*(X)\}.$$

## Polynomials in $\mathcal{N}_9 \setminus \mathcal{L}(\mathcal{N})$

The following result completes the classification of  $\mathcal{N}_9 \setminus \mathcal{L}(\mathcal{N})$  started by Dubickas and J. [3]

**Table:** The complete set  $\mathcal{N}_9 \setminus \mathcal{L}(\mathcal{N})$  (reciprocals omitted).

#	Polynomial $P(X)$
1	$X^9 + X^6 + X^2 + X + 1$
2	$X^9 + X^7 + X^6 + X^2 + 1$
3	$X^9 + X^7 + X^6 + X^4 + 1$
4	$X^9 + X^8 + X^6 + X^5 + X^2 + 1$
5	$X^9 + X^8 + X^7 + X^5 + X^3 + 1$
6	$X^9 + X^8 + X^7 + X^5 + X^2 + X + 1$
7	$X^9 + X^8 + X^5 + X^3 + X^2 + X + 1$
8	$X^9 + X^7 + X^6 + X^3 + X^2 + X + 1$
9	$X^9 + X^8 + X^5 + X^4 + X^3 + X^2 + 1$



## Polynomials in $\mathcal{N} \setminus \mathcal{L}(\mathcal{N})$

The sets

$$\mathcal{L}(\mathcal{N}_d) \quad \text{and} \quad \mathcal{N}_d \setminus \mathcal{L}(\mathcal{N})$$

have been completely determined for  $d = 10$  and  $d = 11$ .

In particular,

$$\#\mathcal{N}_{10} \setminus \mathcal{L}(\mathcal{N}) = 36,$$

$$\#\mathcal{N}_{11} \setminus \mathcal{L}(\mathcal{N}) = 174.$$

# Symmetric problem: polynomials in $\mathcal{N}(\mathcal{L}_{\leq 12})$

## Theorem 4 (G. Junevičius)

*There are exactly nine monic polynomials  $P \in \mathcal{L}_{\leq 12}$  which are not products of cyclotomic polynomials and divide some Newman polynomial.*

## Corollary 5 (G. Junevičius)

*Every nontrivial polynomial  $P \in \mathcal{N}(\mathcal{L}_{\leq 12})$  has a root on  $|z| = 1$  which is not a root of unity.*

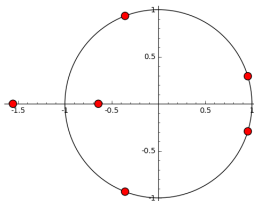
# Polynomials in $\mathcal{N}(\mathcal{L}_{\leq 12})$

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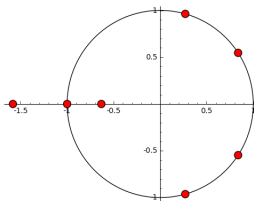
$k$	$P_k(X)$
1	$X^6 + X^5 - X^4 - X^3 - X^2 + X + 1$
2	$X^7 + X^6 - X^5 + X^4 + X^3 - X^2 + X + 1$
3	$X^8 + X^7 - X^6 - X^5 + X^4 - X^3 - X^2 + X + 1$
4	$X^9 + X^8 + X^7 - X^6 - X^5 - X^4 - X^3 + X^2 + X + 1$
5	$X^{10} - X^9 + X^8 + X^7 - X^6 + X^5 - X^4 + X^3 + X^2 - X + 1$
6	$X^{10} + X^9 + X^8 - X^7 - X^6 - X^5 - X^4 - X^3 + X^2 + X + 1$
7	$X^{12} + X^{11} - X^{10} - X^9 - X^8 + X^7 + X^6 + X^5 - X^4 - X^3 - X^2 + X + 1$
8	$X^{12} - X^{11} + X^{10} + X^9 - X^8 + X^7 + X^6 + X^5 - X^4 + X^3 + X^2 - X + 1$
9	$X^{12} + X^{11} + X^{10} - X^9 - X^8 - X^7 + X^6 - X^5 - X^4 - X^3 + X^2 + X + 1$

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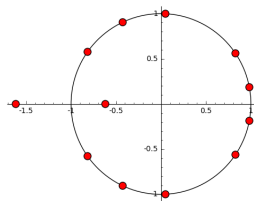
# Roots of $P(X) \in \mathcal{N}(\mathcal{L}_{\leq 12})$



$P_1(x)$

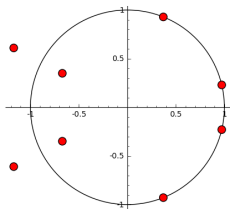


$P_2(x)$

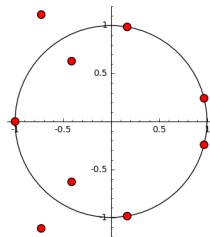


$P_7(x)$

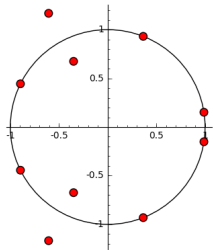
# Roots of $P(X) \in \mathcal{N}(\mathcal{L}_{\leq 12})$



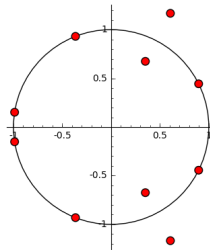
$P_3(x)$



$P_4(x)$

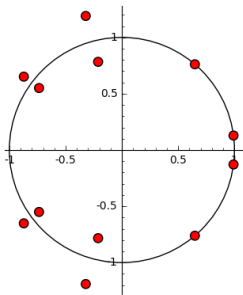


$P_5(x)$

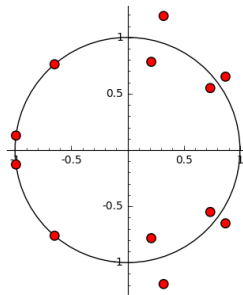


$P_6(x)$

# Roots of $P(X) \in \mathcal{N}(\mathcal{L}_{\leq 12})$



$P_8(x)$



$P_9(x)$

# Trinomials and quadrinomials in $\mathcal{L}(\mathcal{B})$

Theorem 6 (A. Dubickas and J.J., 2009)

*Every trinomial*

$$x^b \pm x^a \pm 1, \quad a, b \in \mathbb{N}, \quad a < b,$$

*has a Littlewood multiple.*

Question (2009)

*What about quadrinomials?*

# Quadrinomials in $\mathcal{B} \setminus \mathcal{L}(\mathcal{B})$

Table: Monic quadrinomials in  $\mathcal{B}_{\leq 9} \setminus \mathcal{L}(\mathcal{B})$ .

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$X^4 + X^3 - X + 1$
$X^4 - X^3 + X + 1$
$X^6 - X^5 - X - 1$
$X^6 + X^5 + X - 1$
$X^8 - X^5 + X^3 + 1$
$X^8 + X^5 - X^3 + 1$
$X^8 + X^7 - X + 1$
$X^8 - X^7 + X + 1$
$X^8 + X^6 - X^2 + 1$
$X^8 - X^6 + X^2 + 1$

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Question (2009)

Does there exist a quadrinomial  $P(X) \in \mathcal{N} \setminus \mathcal{L}(\mathcal{N})$ ?



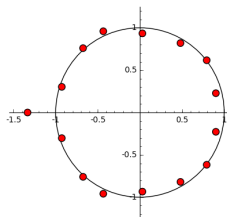
# Quadrinomials in $\mathcal{L}(\mathcal{N})$

## Theorem 7 (L. Klebonas)

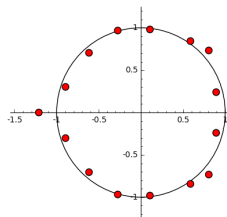
Every quadrinomial  $Q(X) \in \mathcal{N}_{\leq 15}$ , except possibly for those given in the table below, divides some Littlewood polynomial. Moreover, every quadrinomial  $Q(X) \in \mathcal{N}_{\leq 14}$  possesses a Littlewood multiple of smallest possible degree  $\deg_2 \tilde{Q}$ .

Quadrinomial $Q(X)$	$\deg_2 \tilde{Q}$
$X^{15} + X^{14} + X^{10} + 1$	10922
$X^{15} + X^5 + X + 1$	10922
$X^{15} + X^{12} + X^{10} + 1$	32766
$X^{15} + X^5 + X^3 + 1$	32766
$X^{15} + X^{12} + X^4 + 1$	31682
$X^{15} + X^{11} + X^3 + 1$	31682
$X^{15} + X^9 + X^7 + 1$	32766
$X^{15} + X^8 + X^6 + 1$	32766

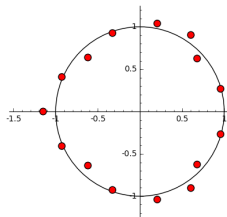
# Quadrinomials in $\mathcal{L}(\mathcal{N})$



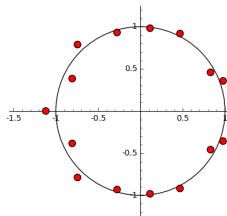
$$X^{15} + X^{14} + X^{10} + 1.$$



$$X^{15} + X^{12} + X^{10} + 1.$$



$$X^{15} + X^{14} + X^4 + 1$$



$$X^{15} + X^8 + X^6 + 1$$

## Examples with special factors I

If  $P, Q \in \mathcal{L}(\mathcal{B})$ , then not necessarily  $P \cdot Q \in \mathcal{L}(\mathcal{B})$ .

### Example 8

$P(X) = X^4 + X^3 + 1$  and  $Q(X) = X^5 - X^4 + X^3 - X + 1$  belong to  $\mathcal{L}(\mathcal{B})$ . However,  $P \cdot Q \notin \mathcal{L}(\mathbb{Z}[X])$ . Consequently,

$$\begin{aligned} R(X) &= X^{11} + X^{10} + X^9 + X^8 + X^7 + X^5 + X^4 + X^3 + 1 = \\ &= (X^2 + X + 1) \cdot P \cdot Q \end{aligned}$$

*also has no Littlewood multiple.*

## Examples with special factors II

If  $P \in \mathcal{N}(\mathcal{B})$ , then not necessarily  $P \cdot P^* \in \mathcal{N}(\mathcal{B})$ .

### Example 9

Let  $P(X) = X^3 - X + 1$ . Then  $P, P^* \in \mathcal{N}(\mathcal{B})$ , eg.  $P \mid X^5 + X^4 + 1$ . However,

$$P(X)P^*(X) = X^6 - X^5 - X^4 + 3X^3 - X^2 - X + 1$$

has no Newman multiple. In contrast,  $p(X)p^*(X)$  divides Borwein polynomial

$$X^8 - X^6 + X^5 + X^4 + X^3 - X^2 + 1$$

that, in turn, has its own Littlewood multiple.

## Examples with special factors III

Our program can deal with polynomials with repeated roots.

### Example 10

$P(X) = X^3 - X + 1$  has a Newman multiple, but  $P^2$  does not.

$P^2$  has a multiple  $L(X) \in \mathcal{L}_{195}$ , while  $P^3 \notin \mathcal{L}(\mathbb{Z}[X])$ .

Consequently, the Borwein multiple

$$Q(X) = (X^2 + X + 1)P(X)^2 = X^8 + X^7 - X^6 + X^4 + X^3 - X + 1$$

of  $P^2$  divides no Newman polynomial.  $Q$  still has a Littlewood multiple, namely the polynomial  $L(X)\Phi_3(X^{196})$ .

# Computational complexity

- ▶ To decide that

$$P(X) = X^9 + X^8 - X^7 - X^5 + X^3 + X^2 - 1 \in \mathcal{B} \setminus \mathcal{L}(\mathcal{B})$$

$t = 119$  min.  $\#\mathcal{G}(P, \mathcal{D}) = 1\,428\,848$ , depth = 471.

- ▶ To find a Littlewood multiple for

$$P(X) = X^9 - X^8 + X^7 + X^6 - X^5 + X^4 - X^3 + X - 1.$$

$t = 92$  min.,  $\#\mathcal{G}(P, \mathcal{D}) = 9\,372\,425$ , depth = 43554.

Thank you!